

# Appendix B: Stakeholder participation during the assessment process

This appendix provides an overview of the ways that stakeholder participation can enhance the assessment of transformational impacts of policies. [Table B.1](#) provides a summary of the steps in the

assessment process where stakeholder participation is recommended and why it is important, noting where relevant information can be found in the *ICAT Stakeholder Participation Guide*.

**TABLE B.1**

## Steps where stakeholder participation is recommended in transformational change impact assessment

Chapter/section in this document	Why stakeholder participation is important at this step	Relevant chapters in <i>Stakeholder Participation Guide</i>
<a href="#">Chapter 2</a> – Objectives of assessing transformational change	<ul style="list-style-type: none"> <li>Ensure that the objectives of the assessment respond to the needs and interests of stakeholders</li> </ul>	Chapter 5 – Identifying and understanding stakeholders
<a href="#">Chapter 4</a> – Steps and assessment principles <ul style="list-style-type: none"> <li><a href="#">Section 4.2</a> – Planning the assessment</li> </ul>	<ul style="list-style-type: none"> <li>Build understanding, participation and support for the policy among stakeholders</li> <li>Ensure conformity with national and international laws and norms, as well as donor requirements related to stakeholder participation</li> <li>Identify and plan how to engage stakeholder groups who may be affected or may influence the policy</li> <li>Coordinate participation at multiple steps for this assessment with participation in other stages of the policy design and implementation cycle, and other assessments</li> </ul>	Chapter 4 – Planning effective stakeholder participation Chapter 5 – Identifying and understanding stakeholders Chapter 6 – Establishing multi-stakeholder bodies Chapter 9 – Establishing grievance redress mechanisms
<a href="#">Chapter 6</a> - Choosing which transformational change characteristics to assess <ul style="list-style-type: none"> <li><a href="#">Section 6.3</a> – Describe the vision for transformational change of the policy</li> <li><a href="#">Section 6.4</a> – Identify barriers to transformational change</li> <li><a href="#">Section 6.5</a> – Choose transformational change characteristics to be assessed</li> </ul>	<ul style="list-style-type: none"> <li>Reflect diverse stakeholder interests and concerns in the vision for transformational change</li> <li>Enhance completeness of identification of transformational change characteristics with stakeholder insights</li> <li>Ensure that indicators and frequency of monitoring reflect stakeholder interests and information needs</li> <li>Improve identification of barriers to transformational change with stakeholder insights</li> </ul>	Chapter 8 – Designing and conducting consultations

TABLE B.1, continued

**Steps where stakeholder participation is recommended in transformational change impact assessment**

Chapter/section in this document	Why stakeholder participation is important at this step	Relevant chapters in <i>Stakeholder Participation Guide</i>
<p><a href="#">Chapter 8</a> – Estimating transformational impacts ex-ante</p> <ul style="list-style-type: none"> <li>• <a href="#">Section 8.1</a> – Assess characteristics</li> </ul>	<ul style="list-style-type: none"> <li>• Minimize subjectivity and bias by integrating diverse stakeholder insights on estimated future changes of transformational characteristics</li> </ul>	<p>Chapter 5 – Identifying and understanding stakeholders</p> <p>Chapter 6 – Establishing multi-stakeholder bodies</p> <p>Chapter 8 – Designing and conducting consultations</p>
<p><a href="#">Chapter 9</a> – Estimating transformational impacts ex-post</p> <ul style="list-style-type: none"> <li>• <a href="#">Section 9.2</a> – Assess characteristics</li> </ul>	<ul style="list-style-type: none"> <li>• Improve scoring of changes in transformational characteristics with stakeholder insights</li> </ul>	<p>Chapter 8 – Designing and conducting consultations</p>
<p><a href="#">Chapter 10</a> – Monitoring performance over time</p> <ul style="list-style-type: none"> <li>• <a href="#">Section 10.1</a> – Define the monitoring period and frequency</li> <li>• <a href="#">Section 10.3</a> – Monitor indicators over time</li> </ul>	<ul style="list-style-type: none"> <li>• Ensure that monitoring frequency addresses the needs of decision makers and other stakeholders</li> <li>• Ensure relevance and completeness of indicators to be monitored</li> </ul>	<p>Chapter 5 – Identifying and understanding stakeholders</p> <p>Chapter 8 – Designing and conducting consultations</p>
<p><a href="#">Chapter 11</a> – Reporting</p>	<ul style="list-style-type: none"> <li>• Inform decision makers and other stakeholders about transformational impacts</li> <li>• Increase accountability and transparency, and thereby credibility and acceptance of the assessment</li> </ul>	<p>Chapter 7 – Providing information to stakeholders</p>